

PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2001

Application or Docket Number

10005609

CLAIMS AS FILED - PART I

| | (Column 1) | (Column 2) |
|----------------------------------|---------------|--------------------------|
| TOTAL CLAIMS | 55 | 55 |
| FOR | NUMBER FILED | NUMBER EXTRA |
| TOTAL CHARGEABLE CLAIMS | 55 minus 20 = | 35 |
| INDEPENDENT CLAIMS | 11 minus 3 = | 8 |
| MULTIPLE DEPENDENT CLAIM PRESENT | | <input type="checkbox"/> |

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

| | (Column 1) | (Column 2) | (Column 3) |
|---|---|---|------------------|
| AMENDMENT A | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total | * 31 | Minus ** 55 | = - |
| Independent | * 13 | Minus *** 11 | = 2 |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> | | | |

| SMALL ENTITY TYPE | OTHER THAN OR SMALL ENTITY |
|----------------------|-------------------------------|
| RATE | FEES |
| BASIC FEE | 370.00 |
| OR | BASIC FEE 740.00 |
| X\$ 9= | |
| OR | X\$18= 630 |
| X42= | |
| OR | X84= 672 |
| +140= | |
| OR | +280= |
| TOTAL | OR TOTAL 2042 |

| SMALL ENTITY | OTHER THAN OR SMALL ENTITY |
|---------------------|-------------------------------|
| RATE | ADDI- TIONAL FEE |
| X\$ 9= | |
| OR | X\$18= |
| X42= | |
| OR | X84= 176.00 |
| +140= | |
| OR | +280= 70 |
| TOTAL ADDIT. FEE | OR TOTAL 176.00 |

| | (Column 1) | (Column 2) | (Column 3) |
|---|---|---|------------------|
| AMENDMENT B | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total | * 13 | Minus ** | = |
| Independent | * 13 | Minus *** | = |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> | | | |

| RATE | ADDI- TIONAL FEE | RATE | ADDI- TIONAL FEE |
|--------|------------------------|---------------------|------------------------|
| X\$ 9= | | X\$18= | |
| OR | | X84= | |
| X42= | | +140= | |
| OR | | +280= | |
| +140= | | TOTAL ADDIT. FEE | OR TOTAL ADDIT. FEE |

| | (Column 1) | (Column 2) | (Column 3) |
|---|---|---|------------------|
| AMENDMENT C | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total | * 13 | Minus ** | = |
| Independent | * 13 | Minus *** | = |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> | | | |

| RATE | ADDI- TIONAL FEE | RATE | ADDI- TIONAL FEE |
|--------|------------------------|---------------------|------------------------|
| X\$ 9= | | X\$18= | |
| OR | | X84= | |
| X42= | | +140= | |
| OR | | +280= | |
| +140= | | TOTAL ADDIT. FEE | OR TOTAL ADDIT. FEE |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

IN THE

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UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Arman Gladjo, Nathan D. Bronson, and Scott E. Harrington
SERIAL NO.: 10/005,609
FILING DATE: November 7, 2001
TITLE: Global Electronic Trading System
EXAMINER: Alain L. Bashore
GROUP ART UNIT: 3624
ATTY. DKT. NO.: 44422593-6028

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this Amendment D is, on the date shown below, being transmitted via facsimile under 37 C.F.R. § 1.8(a) to the United States Patent and Trademark Office, ATTN: Examiner Alain L. Bashore, Art Unit 3624, (703) 231-8729 on December 7, 2004.

Dated: December 7, 2004 By: Edward J. Radler
Edward J. Radler, Reg. No. 28,793

MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

AMENDMENT D

SIR:

In response to the Office Action mailed October 14, 2004, please enter the following amendments:

PAGE 3/26*RCVD AT 12/7/2004 4:09:34 PM [Eastern Standard Time]* SVR:USPTO-EXRF-10* DHIS:8729306* CSID:4155435472* DURATION (mm:ss):07:00

12/15/2004 SCALLIHA 00000004 193140 10005609
01 FC:1201 176.00 DA